

(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
27 November 2003 (27.11.2003)

PCT

(10) International Publication Number  
WO 03/097648 A1(51) International Patent Classification<sup>7</sup>: C07D 491/04,  
495/04, A61K 31/55, A61P 29/00(74) Agent: PLIVA d.d.; Pravni Poslovi, Intelktualno vlas-  
nistvo, Ulica grada Vukovara 49, HR-10000 Zagreb (HR).

(21) International Application Number: PCT/HR03/00026

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,  
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,  
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,  
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,  
MX, MZ, NI, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD,  
SE, SG, SK, SL, TJ, TM, TN, TR, TZ, UA, UG, US, UZ,  
VC, VN, YU, ZA, ZM, ZW.

(22) International Filing Date: 20 May 2003 (20.05.2003)

(25) Filing Language: English

(26) Publication Language: English

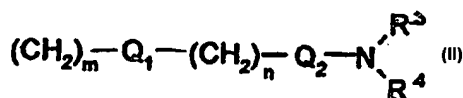
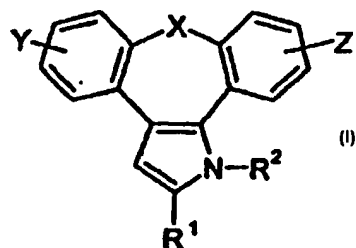
(30) Priority Data:  
P20020440A 21 May 2002 (21.05.2002) HR(84) Designated States (*regional*): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),  
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,  
ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO,  
SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM,  
GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): MERCEP, Mladen  
[HR/HR]; Majstora Radonje 10, HR-10 000 Zagreb (HR).  
MESIC, Milan [HR/HR]; Slavenskog 8, HR-10 000  
Zagreb (HR). PESIC, Dijana [HR/HR]; Prokljanska 18,  
HR-22 000 Sibenik (HR).

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.(54) Title: 1-AZA-DIBENZOAZULENES AS INHIBITORS OF TUMOUR NECROSIS FACTOR PRODUCTION AND INTER-  
MEDIATES FOR THE PREPARATION THEREOF(57) Abstract: The present invention relates to 1-aza-dibenzoazulene  
derivatives of formula I, to their pharmacologically acceptable salts  
and solvates, to process and intermediates for the preparation thereof  
as well as to their antiinflammatory effects, especially to the inhibition  
of tumour necrosis factor- $\alpha$  (TNF- $\alpha$ ) production and the inhibition  
of interleukin-1 (IL-1) production as well as to their analgetic action.  
Wherein X may be CH<sub>2</sub> or a hetero atom such as O, S, S(=O), S(=O)<sub>2</sub>, or  
NR<sup>a</sup>, wherein R<sup>a</sup> is hydrogen or a protecting group; R<sup>1</sup> may be hydrogen,  
halogen, an optionally substituted C<sub>1</sub>-C<sub>7</sub> alkyl or C<sub>2</sub>-C<sub>7</sub> alkenyl, C<sub>2</sub>-C<sub>7</sub>  
alkinyl, an optionally substituted aryl or heteroaryl and a heterocycle,  
hydroxy, hydroxy-C<sub>2</sub>-C<sub>7</sub> alkenyl, hydroxy-C<sub>2</sub>-C<sub>7</sub> alkinyl, C<sub>1</sub>-C<sub>7</sub> alkoxy,  
thiol, thio-C<sub>2</sub>-C<sub>7</sub> alkenyl, thio-C<sub>2</sub>-C<sub>7</sub> alkinyl, C<sub>1</sub>-C<sub>7</sub> alkylthio, amino,  
N-(C<sub>1</sub>-C<sub>7</sub>)alkylamino, N,N-di(C<sub>1</sub>-C<sub>7</sub>-alkyl)amino, (C<sub>1</sub>-C<sub>7</sub>-alkyl)amino,  
amino-C<sub>2</sub>-C<sub>7</sub> alkenyl, amino-C<sub>2</sub>-C<sub>7</sub> alkinyl, amino-C<sub>1</sub>-C<sub>7</sub> alkoxy,  
C<sub>1</sub>-C<sub>7</sub> alkanoyl, aroyl, oxoC<sub>1</sub>-C<sub>7</sub> alkyl, C<sub>1</sub>-C<sub>7</sub> alkanoyloxy, carboxy,  
an optionally substituted C<sub>1</sub>-C<sub>7</sub> alkyloxycarbonyl or aryloxycarbonyl,  
carbamoyl, N-(C<sub>1</sub>-C<sub>7</sub>-alkyl)carbamoyl, N,N-di(C<sub>1</sub>-C<sub>7</sub>-alkyl)carbamoyl,cyano, cyano-C<sub>1</sub>-C<sub>7</sub> alkyl, sulfonyl, C<sub>1</sub>-C<sub>7</sub> alkylsulfonyl, sulfinyl, C<sub>1</sub>-C<sub>7</sub> alkylsulfinyl, nitro, or a substituent of the formula II.

# 1-AZA-DIBENZOAZULENES AS INHIBITORS OF TUMOUR NECROSIS FACTOR PRODUCTION AND INTERMEDIATES FOR THE PREPARATION THEREOF

## *Technical Field*

The present invention relates to 1-aza-dibenzoazulene derivatives, to their pharmacologically acceptable salts and solvates, to processes and intermediates for the preparation thereof as well as to their antiinflammatory effects, especially to the inhibition of tumour necrosis factor- $\alpha$  (TNF- $\alpha$ ) production and the inhibition of interleukin-1 (IL-1) production as well as to their analgetic action.

## *Prior Art*

There are numerous literature data relating to various aza- and diaza-dibenzoazulenes and to the preparation thereof. It is well-known that some compounds of such structure and salts thereof have an antiinflammatory action and represent a novel class of compounds having such an action. Thus in a series of patents (US 3,711,489, US 3,781,294 and CA 967,573) the preparation of dibenzoazulenes of imidazole class with various 2-substituents such as trifluoromethyl, pyridyl, naphthyl, phenyl and substituted phenyl is disclosed. There were also prepared corresponding imidazole derivatives with 2-alkylthio substituents of similar action (US 4,198,421; EP 372,445 and WO 9,118,885).

Dibenzoazulenes of pyrazole class having alkyl, phenyl or substituted phenyl (FR 2,504,140; and Olivera R et al., *Tetrahedron Lett.*, 2000, 41:4353-4356 and 4357-4360) or acetyl and ethoxycarbonyl (Schulz HJ et al., *Z. Chem.*, 1988, 28:181-182) in 2-position are known as well.

There are also literature data disclosing the preparation of 2-aza-dibenzoazulene derivatives such as *N*-methyl derivatives (Funke C et al., *Arzneim-Forsch.*, 1990, 40:536-539; Bennett RA et al., *J. Heterocycl. Chem.*, 1994, 31:293-296) and several patents disclosing dihydro derivatives of 2-aza-dibenzoazulenes (US 3,773,940; US 3,859,439; US 4,112,110; EP 125,484) and tetrahydro derivatives of 2-aza-dibenzoazulenes (US 4,271,179; EP 357,126 and WO 9,854,186). Further, also aromatic 1-thia-dibenzoazulenes having aminoalkoxy substituents on thiophene ring, which also possess an antiinflammatory action (WO 01/87890) are known.

According to our knowledge and to available literature data, completely unsaturated aromatic 1-aza-dibenzoazulenes of the present invention have hitherto not been prepared or disclosed. Nor is it known that such compounds could possess an antiinflammatory action (inhibitors of TNF- $\alpha$  production, inhibitors of IL-1 production) or an analgetic action, said actions also being an object of the present invention. In 1975 TNF- $\alpha$  was defined as a serum factor induced by endotoxin and causing tumour necrosis *in vitro* and *in vivo* (Carswell EA et al., *Proc. Natl. Acad. Sci. U.S.A.*, 1975, 72:3666-3670). Besides an antitumour action, TNF- $\alpha$  also possesses numerous other biological actions important in the homeostasis of organisms and in pathophysiological conditions. The main sources of TNF- $\alpha$  are monocytes-macrophages, T-lymphocytes and mastocytes.

The discovery that anti-TNF- $\alpha$  antibodies (cA2) are effective in treating patients with rheumatoid arthritis (RA) (Elliott M et al., *Lancet*, 1994, 344:1105-1110) led to an increased interest in finding novel TNF- $\alpha$  inhibitors as possible potent drugs for RA. Rheumatoid arthritis is an autoimmune chronic inflammatory disease characterized by irreversible pathological changes in the joints. In addition to RA, TNF- $\alpha$  antagonists may also be used in numerous pathological conditions and diseases such as spondylitis, osteoarthritis, gout and other arthritic conditions, sepsis, septic shock, toxic shock syndrom, atopic dermatitis, contact dermatitis, psoriasis, glomerulonephritis, lupus erythematosus, scleroderma, asthma, cachexia, chronic

obstructive lung disease, congestive cardiac arrest, insulin resistance, lung fibrosis, multiple sclerosis, Crohn's disease, ulcerative colitis, viral infections and AIDS.

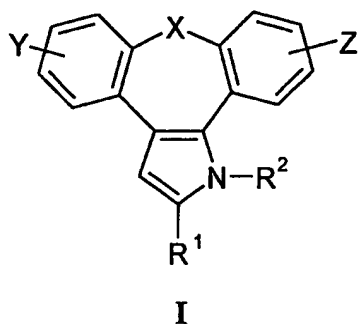
Some of the proofs indicating the biological importance of TNF- $\alpha$  were obtained by *in vivo* experiments in mice, in which mouse genes for TNF- $\alpha$  or its receptor were inactivated. Such animals are resistant to collagen-induced arthritis (Mori L et al., *J. Immunol.*, 1996, 157:3178-3182) and to endotoxin-caused shock (Pfeffer K et al., *Cell*, 1993, 73:457-467). In animal assays where the TNF- $\alpha$  level was increased, a chronic inflammatory polyarthritis occurred (Georgopoulos S et al., *J. Inflamm.*, 1996, 46:86-97; Keffer J et al., *EMBO J.*, 1991, 10:4025-4031) and its pathological picture was alleviated by inhibitors of TNF- $\alpha$  production. The treatment of such inflammatory and pathological conditions usually includes the application of non-steroid antiinflammatory drugs and, in more severe cases, gold salts, D-penicillinamine or methotrexate are administered. Said drugs act symptomatically, but they do not stop the pathological process. Novel approaches in the therapy of rheumatoid arthritis are based upon drugs such as tenidap, leflunomide, cyclosporin, FK-506 and upon biomolecules neutralizing the TNF- $\alpha$  action. At present there are commercially available etanercept (Enbrel, Immunex/Wyeth), a fusion protein of the soluble TNF- $\alpha$  receptor, and infliximab (Remicade, Centocor), a chimeric monoclonal human and mouse antibody. Besides in RA therapy, etanercept and infliximab are also registered for the therapy of Crohn's disease (*Exp. Opin. Invest. Drugs*, 2000, 9:103).

In an optimum RA therapy, besides inhibition of TNF- $\alpha$  secretion, also the inhibition of IL-1 secretion is very important since IL-1 is an important cytokin in cell regulation and immunoregulation as well as in pathophysiological conditions such as inflammation (Dinarello CA et al., *Rev. Infect. Disease*, 1984, 6:51). Well-known biological activities of IL-1 are: activation of T-cells, induction of elevated temperature, stimulation of the secretion of prostaglandine or collagenase, chemotaxia of neutrophils and reduction of iron level in plasma (Dinarello CA, *J. Clinical Immunology*, 1985, 5:287). Two receptors to which IL-1 may bind are well-known:

IL-1RI and IL-1RII. Whereas IL-1RI transfers a signal intracellularly, IL-1RII is situated on the cell surface and does not transfer a signal inside the cell. Since IL-1RII binds IL-1 as well as IL-1RI, it may act as a negative regulator of IL-1 action. Besides this mechanism of signal transfer regulation in cells, another natural antagonist of IL-1 receptor (IL-1ra) is present in cells. This protein binds to IL-1RI but does not cause its stimulation. Its potency in stopping the transfer of IL-1 stimulated signal is not high and its concentration has to be 500 times higher than that of IL-1 in order to achieve a break in the signal transfer. Recombinant human IL-1ra (Amgen) was clinically tested (Bresnihan B et al., *Arthrit. Rheum.*, 1996, 39:73) and the obtained results indicated an improvement of the clinical picture over a placebo in RA patients. These results indicate the importance of the inhibition of IL-1 action in treating diseases such as RA where IL-1 production is disturbed. Since there exists a synergistic action of TNF- $\alpha$  and IL-1, dual TNF- $\alpha$  and IL-1 inhibitors may be used in treating conditions and diseases related to an enhanced secretion of TNF- $\alpha$  and IL-1.

### *Inventive Solution*

The present invention relates to 1-aza-dibenzoazulene compounds of the formula I:



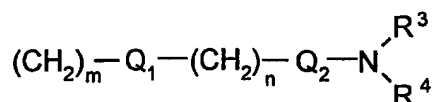
wherein

X may be CH<sub>2</sub> or a hetero atom such as O, S, S(=O), S(=O)<sub>2</sub>, or NR<sup>a</sup>, wherein R<sup>a</sup> is hydrogen or a protecting group;

Y and Z independently from each other denote one or more identical or different substituents linked to any available carbon atom, and may be halogen, C<sub>1</sub>-C<sub>4</sub>

alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, C<sub>2</sub>-C<sub>4</sub> alkynyl, halo-C<sub>1</sub>-C<sub>4</sub> alkyl, hydroxy, C<sub>1</sub>-C<sub>4</sub> alkoxy, trifluoromethoxy, C<sub>1</sub>-C<sub>4</sub> alkanoyl, amino, amino-C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkylamino, *N*-(C<sub>1</sub>-C<sub>4</sub>-alkyl)amino, *N,N*-di(C<sub>1</sub>-C<sub>4</sub>-alkyl)amino, thiol, C<sub>1</sub>-C<sub>4</sub> alkylthio, sulfonyl, C<sub>1</sub>-C<sub>4</sub> alkylsulfonyl, sulfinyl, C<sub>1</sub>-C<sub>4</sub> alkylsulfinyl, carboxy, C<sub>1</sub>-C<sub>4</sub> alkoxy carbonyl, cyano, nitro;

R<sup>1</sup> may be hydrogen, halogen, an optionally substituted C<sub>1</sub>-C<sub>7</sub> alkyl or C<sub>2</sub>-C<sub>7</sub> alkenyl, C<sub>2</sub>-C<sub>7</sub> alkynyl, an optionally substituted aryl or heteroaryl and a heterocycle, hydroxy, hydroxy-C<sub>2</sub>-C<sub>7</sub> alkenyl, hydroxy-C<sub>2</sub>-C<sub>7</sub> alkynyl, C<sub>1</sub>-C<sub>7</sub> alkoxy, thiol, thio-C<sub>2</sub>-C<sub>7</sub> alkenyl, thio-C<sub>2</sub>-C<sub>7</sub> alkynyl, C<sub>1</sub>-C<sub>7</sub> alkylthio, amino, *N*-(C<sub>1</sub>-C<sub>7</sub>)alkylamino, *N,N*-di(C<sub>1</sub>-C<sub>7</sub>-alkyl)amino, (C<sub>1</sub>-C<sub>7</sub>-alkyl)amino, amino-C<sub>2</sub>-C<sub>7</sub> alkenyl, amino-C<sub>2</sub>-C<sub>7</sub> alkynyl, amino-C<sub>1</sub>-C<sub>7</sub> alkoxy, C<sub>1</sub>-C<sub>7</sub> alkanoyl, aroyl, oxo-C<sub>1</sub>-C<sub>7</sub> alkyl, C<sub>1</sub>-C<sub>7</sub> alkanoyloxy, carboxy, an optionally substituted C<sub>1</sub>-C<sub>7</sub> alkyloxycarbonyl or aryloxycarbonyl, carbamoyl, *N*-(C<sub>1</sub>-C<sub>7</sub>-alkyl)carbamoyl, *N,N*-di(C<sub>1</sub>-C<sub>7</sub>-alkyl)carbamoyl, cyano, cyano-C<sub>1</sub>-C<sub>7</sub> alkyl, sulfonyl, C<sub>1</sub>-C<sub>7</sub> alkylsulfonyl, sulfinyl, C<sub>1</sub>-C<sub>7</sub> alkylsulfinyl, nitro, or a substituent of the formula II:



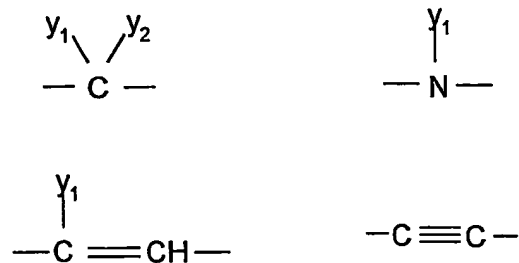
## II

wherein

R<sup>3</sup> and R<sup>4</sup> simultaneously or independently from each other may be hydrogen, C<sub>1</sub>-C<sub>4</sub> alkyl, aryl or together with N have the meaning of an optionally substituted heterocycle or heteroaryl;

m and n represent an integer from 0 to 3;

Q<sub>1</sub> and Q<sub>2</sub> represent, independently from each other, oxygen, sulfur or groups:



wherein the substituents

$y_1$  and  $y_2$  independently from each other may be hydrogen, halogen, an optionally substituted  $C_1$ - $C_4$  alkyl or aryl, hydroxy,  $C_1$ - $C_4$  alkoxy,  $C_1$ - $C_4$  alkanoyl, thiol,  $C_1$ - $C_4$  alkylthio, sulfonyl,  $C_1$ - $C_4$  alkylsulfonyl, sulfinyl,  $C_1$ - $C_4$  alkylsulfinyl, cyano, nitro or together form carbonyl or imino group;

$R^2$  has the meaning of hydrogen, optionally substituted  $C_1$ - $C_7$  alkyl or aryl or a protecting group: formyl,  $C_1$ - $C_7$  alkanoyl,  $C_1$ - $C_7$  alkoxycarbonyl, arylalkyloxycarbonyl, aroyl, arylalkyl,  $C_1$ - $C_7$  alkylsilyl;

as well as to pharmacologically acceptable salts and solvates thereof.

The term "halo", "hal" or "halogen" relates to a halogen atom which may be fluorine, chlorine, bromine or iodine.

The term "alkyl" relates to alkyl groups with the meaning of alkanes wherefrom radicals are derived, which radicals may be straight, branched or cyclic or a combination of straight and cyclic ones as well as of branched and cyclic ones. The preferred straight or branched alkyls are e.g. methyl, ethyl, propyl, isopropyl, butyl, *sec*-butyl and *tert*-butyl. The preferred cyclic alkyls are e.g. cyclopentyl or cyclohexyl.

The term "haloalkyl" relates to alkyl groups which must be substituted with at least one halogen atom. The most frequent haloalkyls are e.g. chloromethyl, dichloromethyl, trifluoromethyl or 1,2-dichloropropyl.

The term "alkenyl" relates to alkenyl groups having the meaning of hydrocarbon radicals, which may be straight, branched or cyclic or are a combination of straight and cyclic ones or branched and cyclic ones, but having at least one carbon-carbon double bond. The most frequent alkenyls are ethenyl, propenyl, butenyl or cyclohexenyl.

The term "alkinyl" relates to alkinyl groups having the meaning of hydrocarbon radicals, which are straight or branched and contain at least one and at most two carbon-carbon triple bonds. The most frequent alkinyls are e.g. ethinyl, propinyl or butinyl.

The term "alkoxy" relates to straight or branched chains of alkoxy group. Examples of such groups are methoxy, propoxy, prop-2-oxy, butoxy, but-2-oxy or methylprop-2-oxy.

The term "aryl" relates to groups having the meaning of an aromatic ring, e.g. phenyl, as well as to fused aromatic rings. Aryl contains one ring with at least 6 carbon atoms or two rings with totally 10 carbon atoms and with alternating double (resonant) bonds between carbon atoms. The most frequently used aryls are e.g. phenyl or naphthyl. In general, aryl groups may be linked to the rest of the molecule by any available carbon atom *via* a direct bond or *via* a C<sub>1</sub>-C<sub>4</sub> alkylene group such as methylene or ethylene.

The term "heteroaryl" relates to groups having the meaning of aromatic and partially aromatic groups of a monocyclic or bicyclic ring with 4 to 12 atoms, at least one of them being a hetero atom such as O, S or N, and the available nitrogen atom or carbon atom is the binding site of the group to the rest of the molecule either *via* a direct bond or *via* a C<sub>1</sub>-C<sub>4</sub> alkylene group defined earlier. Examples of this type are thiophenyl, pyrrolyl, imidazolyl, pyridinyl, oxazolyl, thiazolyl, pyrazolyl, tetrazolyl, pirimidinyl, pyrazinyl, quinolinyl or triazinyl.



The term "heterocycle" relates to five-member or six-member, fully saturated or partly unsaturated heterocyclic groups containing at least one hetero atom such as O, S or N, and the available nitrogen atom or carbon atom is the binding site of the group to the rest of the molecule either *via* a direct bond or *via* a C<sub>1</sub>-C<sub>4</sub> alkylene group defined earlier. The most frequent examples are morpholinyl, piperidyl, piperazinyl, pyrrolidinyl, pirazinyl or imidazolyl.

The term "alkanoyl" group relates to straight chains of acyl group such as formyl, acetyl or propanoyl.

The term "aroyl" group relates to aromatic acyl groups such as benzoyl.

The term "optionally substituted" alkyl relates to alkyl groups, which may be optionally additionally substituted with one, two, three or more substituents. Such substituents may be a halogen atom (preferably fluorine or chlorine), hydroxy, C<sub>1</sub>-C<sub>4</sub> alkoxy (preferably methoxy or ethoxy), thiol, C<sub>1</sub>-C<sub>4</sub> alkylthio (preferably methylthio or ethylthio), amino, *N*-(C<sub>1</sub>-C<sub>4</sub>) alkylamino (preferably *N*-methylamino or *N*-ethylamino), *N,N*-di(C<sub>1</sub>-C<sub>4</sub>-alkyl)-amino (preferably dimethylamino or diethylamino), sulfonyl, C<sub>1</sub>-C<sub>4</sub> alkylsulfonyl (preferably methylsulfonyl or ethylsulfonyl), sulfinyl, C<sub>1</sub>-C<sub>4</sub> alkylsulfinyl (preferably methylsulfinyl).

The term "optionally substituted" alkenyl relates to alkenyl groups optionally additionally substituted with one, two or three halogen atoms. Such substituents may be e.g. 2-chloroethenyl, 1,2-dichloroethenyl or 2-bromo-propene-1-yl.

The term "optionally substituted" aryl, heteroaryl or heterocycle relates to aryl, heteroaryl or heterocyclic groups which may be optionally additionally substituted with one or two substituents. The substituents may be halogen (preferably chlorine or fluorine), C<sub>1</sub>-C<sub>4</sub> alkyl (preferably methyl, ethyl or isopropyl), cyano, nitro, hydroxy,

C<sub>1</sub>-C<sub>4</sub> alkoxy (preferably methoxy or ethoxy), thiol, C<sub>1</sub>-C<sub>4</sub> alkylthio (preferably methylthio or ethylthio), amino, *N*-(C<sub>1</sub>-C<sub>4</sub>) alkylamino (preferably *N*-methylamino or *N*-ethylamino), *N,N*-di(C<sub>1</sub>-C<sub>4</sub>-alkyl)-amino (preferably *N,N*-dimethylamino or *N,N*-diethylamino), sulfonyl, C<sub>1</sub>-C<sub>4</sub> alkylsulfonyl (preferably methylsulfonyl or ethylsulfonyl), sulfinyl, C<sub>1</sub>-C<sub>4</sub> alkylsulfinyl (preferably methylsulfinyl).

When X has the meaning of NR<sup>a</sup> and R<sup>a</sup> has the meaning of a protecting group, R<sup>a</sup> relates to groups such as alkyl (preferably methyl or ethyl), alkanoyl (preferably acetyl), alkoxycarbonyl (preferably methoxycarbonyl or *tert*-butoxycarbonyl), arylmethoxycarbonyl (preferably benzyloxycarbonyl), aroyl (preferably benzoyl), arylalkyl (preferably benzyl), alkylsilyl (preferably trimethylsilyl) or alkylsilylalkoxyalkyl (preferably trimethylsilylethoxymethyl).

When R<sup>3</sup> and R<sup>4</sup> together with N have the meaning of heteroaryl or heterocycle, this means that such heteroaryls or heterocycles have at least one carbon atom replaced by a nitrogen atom, through which the groups are linked to the rest of the molecule. Examples of such groups are morpholine-4-yl, piperidine-1-yl, pyrrolidine-1-yl, imidazole-1-yl or piperazine-1-yl.

The term "pharmaceutically suitable salts" relates to salts of the compounds of the formula I and include e.g. salts with C<sub>1</sub>-C<sub>4</sub> alkylhalides (preferably methyl bromide, methyl chloride) (quaternary ammonium salts), with inorganic acids (hydrochloric, hydrobromic, phosphoric, metaphosphoric, nitric or sulfuric acids) or with organic acids (tartaric, acetic, citric, maleic, lactic, fumaric, benzoic, succinic, methane sulfonic or *p*-toluene sulfonic acids).

Some compounds of the formula I may form salts with organic or inorganic acids or bases and these are also included in the present invention.

Solvates (most frequently hydrates), which may be formed by compounds of the

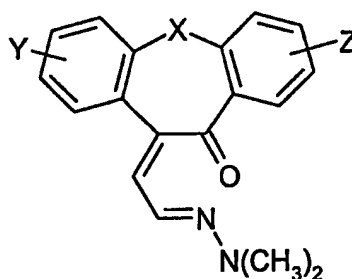
formula I or salts thereof, are also an object of the present invention.

Depending upon the nature of particular substituents, the compounds of the formula I may have geometric isomers and one or more chiral centres so that there can exist enantiomers or diastereoisomers. The present invention also relates to such isomers and mixtures thereof including racemates.

The present invention also relates to all possible tautomeric forms of particular compounds of the formula I.

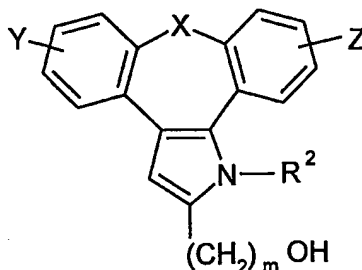
A further object of the present invention relates to the preparation of compounds of the formula I according to processes comprising

- a) for compounds of the formula I, wherein  $R^1$  is hydrogen,  
a cyclization of compounds of the formula III:



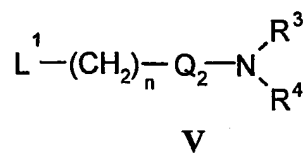
III

- b) for compounds of the formula I, wherein  $Q_1$  has the meaning of -O-,  
a reaction of alcohols of the formula IV:



## IV

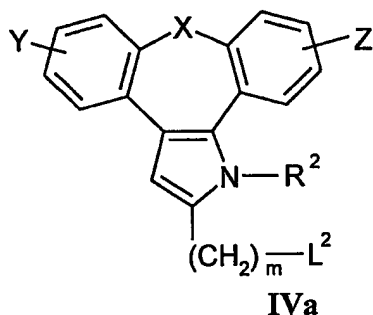
with compounds of the formula V:



wherein  $\text{L}^1$  has the meaning of a leaving group,

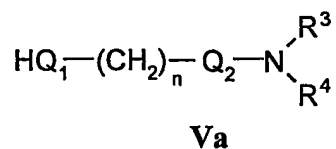
c) for compounds of the formula I, wherein  $\text{Q}_1$  has the meaning of -O-, -NH-, -S- or  $-\text{C}\equiv\text{C}-$ ,

a reaction of compounds of the formula IVa:



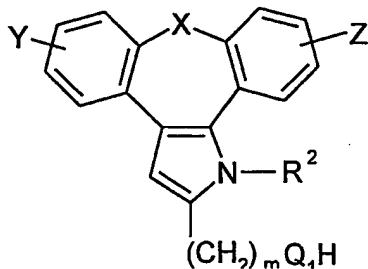
wherein  $\text{L}^2$  has the meaning of a leaving group,

with compounds of the formula Va:



d) for compounds wherein  $\text{Q}_1$  has the meaning of -O-, -NH- or -S-,

a reaction of compounds of the formula IVb:



**IVb**

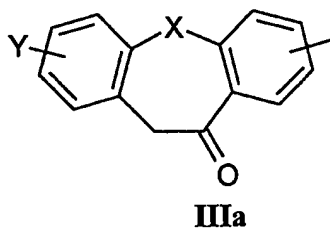
with compounds of the formula V, wherein  $L^1$  has the meaning of a leaving group,

- e) for compounds wherein  $Q_1$  has a meaning of  $-C=C-$ ,  
 a reaction of compounds of the formula IVb, wherein  $Q_1$  has the meaning of carbonyl, with phosphorous ylides.

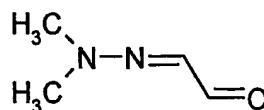
**Preparation methods:**

- a) Compounds of the formula I, wherein  $R^1$  has the meaning of hydrogen, are obtained by reaction of the compounds of the formula III with  $Na_2S_2O_4$  or  $Na_2SO_3$  in an aqueous-alcoholic medium (preferably ethanol-water) under heating (preferably at boiling temperature) for 1 to 5 hours (US 4,267,190). The obtained crude product may be purified by recrystallization or column chromatography.

The starting compounds for preparing compounds of the formula III are the corresponding dibenzo-cycloheptanones of the formula IIIa:



and a compound of the formula IIIb:



The compounds of the formula **IIIa** and the compound of the formula **IIIb** are already known or are prepared by methods disclosed for the preparation of analogous compounds.

Compounds of the formula **III** may be prepared in an alcoholic medium in the presence of a corresponding alcoholate (preferably sodium ethoxide in ethanol) at elevated temperature (50°C to 100°C) during 1 to 5 hours (Severin T, Poehlmann H, *Chem. Ber.* **1977**, *110*:491-499). Products consisting of a mixture of geometric isomers may be isolated and purified by chromatography on silica gel column or may be converted to corresponding pyrrol derivatives without isolation by cyclization.

b) Compounds of the formula **I** according to the present process may be prepared by reaction of alcohols of the formula **IV** and compounds of the formula **V**, wherein  $L^1$  has the meaning of a leaving group, which may be a halogen atom (most frequently bromine, iodine or chlorine) or sulfonyloxy group (most frequently trifluoromethylsulfonyloxy or p-toluenesulfonyloxy). The reaction of condensation may be carried out according to methods disclosed for the preparation of analogous compounds (Menozzi G et al., *J. Heterocyclic Chem.*, **1997**, *34*:963-968 or WO 01/87890). The reaction is carried out at a temperature from 20°C to 100°C during 1 to 24 hours in a two-phase system (preferably with 50% NaOH/toluene) in the presence of a phase transfer catalyst (preferably benzyl triethyl ammonium chloride, benzyl triethyl ammonium bromide, cetyl trimethyl bromide). After treating the reaction mixture, the products formed are isolated by recrystallization or chromatography on a silica gel column.

The starting substances, alcohols of the formula **IV**, may be prepared from the compounds of the formula **I**, wherein  $R^1$  has the meaning of a suitable functional group and  $R^2$  has the meaning of a protecting group. Thus e.g. alcohols of the formula **IV** may be obtained by the reduction of aldehyde, carboxyl or alkoxycarbonyl group

(e.g. methyloxycarbonyl or ethyloxycarbonyl) by using metal hydrides such as lithium aluminum hydride or sodium borohydride. Further, alcohols of the formula IV may be prepared by hydrolysis of the corresponding esters in an alkaline or acidic medium.

The starting compounds of the formula V are already known or are prepared according to methods disclosed for the preparation of analogous compounds.

c) Compounds of the formula I according to the present process may be prepared by reacting compounds of the formula IVa, wherein  $L^2$  has the meaning of a leaving group defined earlier for  $L^1$ , and compounds of the formula Va, wherein  $Q_1$  has the meaning of oxygen, nitrogen, sulfur or  $-C\equiv C-$ . The most suitable condensation reactions are reactions of nucleophilic substitution on a saturated carbon atom as disclosed in the literature.

The starting compounds of the formula IVa (most frequently halogens) may be obtained by halogenation (e.g. bromination or chlorination) of the compounds of the formula IV with common halogenating agents (hydrobromic acid,  $PBr_3$ ,  $SOCl_2$  or  $PCl_5$ ) by processes disclosed in the literature. The obtained compounds may be isolated or may be used without isolation as appropriate intermediates for the preparation of the compounds of the formula I.

The starting compounds of the formula Va are already known or are prepared according to methods disclosed for the preparation of analogous compounds.

d) The compounds of the formula I, wherein  $Q_1$  has the meaning of  $-O-$ ,  $-NH-$  or  $-S-$ , may be prepared by condensation of the compounds of the formula IVb and of compounds of the formula V, wherein  $L^1$  has the meaning of a leaving group defined earlier. The reaction may be carried out as disclosed in method b) or at reaction conditions for a nucleophilic substitution disclosed in the literature. The starting alcohols, amines and thiols may be obtained by a reaction of water, ammonia or

hydrogen sulfide with compounds **IVa** according to processes disclosed in the literature.

e) The alcohols of the structure **IV** may be oxidized to corresponding compounds of the formula **IVb**, wherein  $Q_1$  has the meaning of carbonyl, which may further, by reaction with corresponding ylide reagents, result in a prolongation of the chain and in the formation of an alkenyl substituent with carbonyl or ester groups as disclosed in HR patent application No. 20000310.

Besides the reactions mentioned above, the compounds of the formula **I** may be prepared by transforming other compounds of the formula **I** and it is to be understood that the present invention also comprises such compounds and processes. A special example of a change of a functional group is the reaction of the aldehyde group with chosen phosphorous ylides resulting in a prolongation of the chain and the formation of an alkenyl substituent with carbonyl or ester groups as disclosed in HR patent application No. 20000310. These reactions are carried out in solvents such as benzene, toluene or hexane at elevated temperature (most frequently at boiling temperature).

By reacting the compounds of the formula **IVa** with 1-alkyne in an alkaline medium (such as sodium amide in ammonia), the compounds of the formula **I**, wherein  $Q_1$  is  $-C\equiv C-$ , are obtained. The reaction conditions of this process are disclosed in the literature. At similar reaction conditions (nucleophilic substitution) various ether, thioether or amine derivatives may be prepared.

The formylation of the compounds of the formula **I** by processes such as e.g. Vilsmeier acylation (US 4,267,184) or reaction of *n*-BuLi and *N,N*-dimethylformamide is a further general example of a transformation. The reaction conditions of these processes are well-known in the literature.



By hydrolysis of the compounds of the formula I having nitrile, amide or ester groups, there may be prepared compounds with a carboxyl group, which are suitable intermediates for the preparation of other compounds with novel functional groups such as e.g. esters, amides, halides, anhydrides, alcohols or amines.

Oxidation or reduction reactions are a further possibility of the change of substituents in the compounds of the formula I. Most frequently used oxidation agents are peroxides (hydrogen peroxide, *m*-chloroperbenzoic acid or benzoyl peroxide) or permanganate, chromate or perchlorate ions. Thus e.g. by the oxidation of an alcohol group by pyridinyl dichromate or pyridinyl chlorochromate, an aldehyde group is formed, which group may be converted to a carboxyl group by further oxidation.

By a selective oxidation of alkylthio group, alkylsulfinyl or alkylsulfonyl groups may be prepared.

By the reduction of the compounds with a nitro group, the preparation of amino compounds is made possible. The reaction is carried out under usual conditions of catalytic hydrogenation or electrochemically. By catalytic hydrogenation using palladium on carbon, alkenyl substituents may be converted to alkyl ones or nitrile group can be converted to aminoalkyl.

Various substituents of the aromatic structure in the compounds of the formula I may be introduced by standard substitution reactions or by usual changes of individual functional groups. Examples of such reactions are aromatic substitutions, alkylations, halogenation, hydroxylation as well as oxidation or reduction of substituents. Reagents and reaction conditions are known from the literature. Thus e.g. by aromatic substitution a nitro group is introduced in the presence of concentrated nitric acid and sulfuric acid. By using acyl halides or alkyl halides, the introduction of an acyl group or an alkyl group is made possible. The reaction is carried out in the presence of Lewis acids such as aluminum- or iron-trichloride in conditions of Friedel-Crafts

reaction. By the reduction of the nitro group, an amino group is obtained, which is by the reaction of diazotizing converted to a suitable starting group, which may be replaced with one of the following groups: H, CN, OH, Hal.

In order to prevent undesired interaction in chemical reactions, it is often necessary to protect certain groups such as e.g. hydroxy, amino, thio or carboxy. For this purpose a great number of protecting groups may be used (Green TW, Wuts PGH, Protective Groups in Organic Synthesis, John Wiley and Sons, 1999) and the choice, use and elimination thereof are conventional methods in chemical synthesis.

A convenient protection for amino or alkylamino groups are groups such as e.g. alkanoyl (acetyl), alkoxycarbonyl (methoxycarbonyl, ethoxycarbonyl or *tert*-butoxycarbonyl); arylmethoxycarbonyl (benzyloxycarbonyl), aroyl (benzoyl) or alkylsilyl (trimethylsilyl or trimethylsilylethoxymethyl) groups. The conditions of removing a protecting group depend upon the choice and the characteristics of this group. Thus e.g. acyl groups such as alkanoyl, alkoxycarbonyl or aroyl may be eliminated by hydrolysis in the presence of a base (sodium hydroxide or potassium hydroxide), *tert*-butoxycarbonyl or alkylsilyl (trimethylsilyl) may be eliminated by treatment with a suitable acid (hydrochloric, sulfuric, phosphoric or trifluoroacetic acid), whereas arylmethoxycarbonyl group (benzyloxycarbonyl) may be eliminated by hydrogenation using a catalyst such as palladium on carbon.

Salts of the compounds of the formula I may be prepared by generally known processes such as e.g. by reacting the compounds of the formula I with a corresponding base or acid in an appropriate solvent or solvent mixture e.g. ethers (diethylether) or alcohols (ethanol, propanol or isopropanol).

Another object of the present invention concerns the use of the present compounds in the therapy of inflammatory diseases and conditions, especially all diseases and conditions induced by excessive TNF- $\alpha$  and IL-1 secretion.

Inhibitors of production of cytokins or inflammation mediators, which are the object of the present invention, or pharmacologically acceptable salts thereof may be used in production of drugs for the treatment and prophylaxis of any pathological condition or disease induced by excessive unregulated production of cytokins or inflammation mediators, which drugs should contain an effective dose of said inhibitors.

The present invention specifically relates to an effective dose of TNF- $\alpha$  inhibitor, which may be determined by usual methods.

Further, the present invention relates to a pharmaceutical formulation containing an effective non-toxic dosis of the present compounds as well as pharmaceutically acceptable carriers or solvents.

The preparation of pharmaceutical formulations may include blending, granulating, tableting and dissolving ingredients. Chemical carriers may be solid or liquid. Solid carriers may be lactose, sucrose, talcum, gelatine, agar, pectin, magnesium stearate, fatty acids etc. Liquid carriers may be syrups, oils such as olive oil, sunflower oil or soya bean oil, water etc. Similarly, the carrier may also contain a component for a sustained release of the active component such as e.g. glyceryl monostearate or glyceryl distearate. Various forms of pharmaceutical formulations may be used. Thus, if a solid carrier is used, these forms may be tablets, hard gelatine capsules, powder or granules, which may be administered in capsules per os. The amount of the solid carrier may vary, but it is mainly from 25 mg to 1 g. If a liquid carrier is used, the formulation would be in the form of a syrup, emulsion, soft gelatine capsules, sterile injectable liquids such as ampoules or non-aqueous liquid suspensions.

Compounds according to the present invention may be applied per os, parenterally, locally, intranasally, intrarectally and intravaginally. The parenteral route herein means intravenous, intramuscular and subcutaneous applications. Appropriate

formulations of the present compounds may be used in the prophylaxis as well as in the treatment of inflammatory diseases and conditions induced by an excessive unregulated production of cytokins or inflammation mediators, primarily TNF- $\alpha$ . They comprise e.g. rheumatoid arthritis, rheumatoid spondylitis, osteoarthritis and other arthritic pathological conditions and diseases, eczemas, psoriasis and other inflammatory skin conditions, inflammatory eye diseases, Crohn's disease, ulcerative colitis and asthma.

The inhibitory action of the present compounds upon TNF- $\alpha$  and IL-1 secretion was determined by the following *in vitro* and *in vivo* experiments:

**Determination of TNF- $\alpha$  and IL-1 secretion in human peripheral blood mononuclear cells *in vitro***

Human peripheral blood mononuclear cells (PBMC) were prepared from heparinized whole blood after separating PBMC on Ficoll-Paque<sup>TM</sup>Plus (Amersham-Pharmacia). To determine the TNF- $\alpha$  level,  $3.5\text{-}5 \times 10^4$  cells were cultivated in a total volume of 200  $\mu\text{l}$  for 18 to 24 hours on microtitre plates with a flat bottom (96 wells, Falcon) in RPMI 1640 medium, into which there were added 10% FBS (Fetal Bovine Serum, Biowhittaker) previously inactivated at 56°C/30 min, 100 units/ml of penicillin, 100 mg/ml of streptomycin and 20 mM HEPES (GIBCO). The cells were incubated at 37°C in an atmosphere with 5% CO<sub>2</sub> and 90% humidity. In a negative control the cells were cultivated only in the medium (NC), whereas in a positive control TNF- $\alpha$  secretion was triggered by adding 1 ng/ml of lipopolysaccharides (LPS, *E. coli* serotype 0111:B4, SIGMA) (PC). The effect of the tested substances upon TNF- $\alpha$  secretion was investigated after adding them into cultures of cells stimulated by LPS (TS). The TNF- $\alpha$  level in the cell supernatant was determined by ELISA procedure according to the suggestions of the producer (R&D Systems). The test sensitivity was <3pg/ml TNF- $\alpha$ . The IL-1 level was determined in an assay under the same

conditions and with the same number of cells and the same concentration of the stimulus by ELISA procedure (R&D Systems). The percentage of inhibition of TNF- $\alpha$  or IL-1 production was calculated by the equation:

$$\% \text{ inhibition} = [1 - (\text{TS-NC})/(\text{PC-NC})] * 100.$$

The IC-50 value was defined as the substance concentration, at which 50% of TNF- $\alpha$  production were inhibited.

Compounds showing IC-50 with 20  $\mu$ M or lower concentrations are active.

#### **Determination of TNF- $\alpha$ and IL-1 secretion in mouse peritoneal macrophages *in vitro***

In order to obtain peritoneal macrophages, Balb/C mouse strain males, age 8 to 12 weeks, were injected i.p. with 300  $\mu$ g of zymosan (SIGMA) dissolved in a phosphate buffer (PBS) in a total volume of 0.1 ml/mouse. After 24 hours the mice were euthanized according to the Laboratory Animal Welfare Act. The peritoneal cavity was washed with a sterile physiological solution (5 ml). The obtained peritoneal macrophages were washed twice with a sterile physiological solution and, after the last centrifugation (350 g/10 min), resuspended in RPMI 1640, into which 10% of FBS were added. In order to determine TNF- $\alpha$  secretion,  $5 \times 10^4$  cells/well were cultivated in a total volume of 200  $\mu$ l for 18 to 24 hours on microtitre plates with a flat bottom (96 wells, Falcon) in RPMI 1640 medium, into which 10% FBS (Fetal Bovine Serum, Biowhittaker) inactivated by heat, 100 units/ml of penicillin, 100 mg/ml of streptomycin, 20 mM HEPES and 50  $\mu$ M 2-mercaptoethanol (all of GIBCO) were added. The cells were incubated at 37°C in an atmosphere with 5% CO<sub>2</sub> and 90% humidity. In a negative control the cells were cultivated only in a medium (NC), whereas in a positive control the TNF- $\alpha$  secretion was triggered by adding 10 ng/ml of lipopolysaccharides (LPS, *E. coli* serotype 0111:B4, SIGMA) (PC). The effect of

the substances upon the TNF- $\alpha$  secretion was investigated after adding them into cultures of cells stimulated with LPS (TS). The TNF- $\alpha$  level in the cell supernatant was determined by ELISA procedure specific for TNF- $\alpha$  and IL-1 (R&D Systems, Biosource). The percentage of inhibition of TNF- $\alpha$  or IL-1 production was calculated by the equation:

$$\% \text{ inhibition} = [1 - (\text{TS-NC})/(\text{PC-NC})] * 100.$$

The IC-50 value was defined as the substance concentration, at which 50% of TNF- $\alpha$  production were inhibited.

Compounds showing IC-50 with 10  $\mu$ M or lower concentrations are active.

#### ***In vivo* model of LPS-induced excessive TNF- $\alpha$ or IL-1 secretion in mice**

TNF- $\alpha$  or IL-1 secretion in mice was induced according to the already disclosed method (Badger AM et al., *J. Pharmac. Env. Therap.*, 1996, 279:1453-1461). Balb/C males, age 8 to 12 weeks, in groups of 6 to 10 animals were used. The animals were treated p.o. either with a solvent only (in negative and in positive controls) or with solutions of substances 30 minutes prior to i.p. treatment with LPS (*E. coli* serotype 0111:B4, Sigma) in a dosis of 1-25  $\mu$ g/animal. Two hours later the animals were euthanized by means of i.p. Roumpun (Bayer) and Ketanest (Parke-Davis) injection. A blood sample of each animal was taken into a Vacutainer tube (Becton Dickinson) and the plasma was separated according to the producer's instructions. The TNF- $\alpha$  level in the plasma was determined by ELISA procedure (Biosource, R&D Systems) according to the producer's instructions. The test sensitivity was <3pg/ml TNF- $\alpha$ . The IL-1 level was determined by ELISA procedure (R&D Systems). The percentage of inhibition of TNF- $\alpha$  or IL-1 production was calculated by the equation:

$$\% \text{ inhibition} = [1 - (\text{TS-NC})/(\text{PC-NC})] * 100.$$

Active are the compounds showing 30% or more inhibition of TNF- $\alpha$  production at a dosis of 10 mg/kg.

### **Writhing assay for analgetic activity**

In this assay pain is induced by the injection of an irritant, most frequently acetic acid, into the peritoneal cavity of mice. Animals react with characteristic writhings, which has given the name to the assay (Collier HOJ et al., *Pharmac. Chemother.*, 1968, 32:295-310; Fukawa K et al., *J. Pharmacol. Meth.*, 1980, 4:251-259; Schweizer A et al., *Agents Actions*, 1988, 23:29-31). The assay is convenient for the determination of analgetic activity of compounds. Procedure: male Balb/C mice (Charles River, Italy), age 8 to 12 weeks, were used. A control group received methyl cellulose p.o. 30 minutes prior to i.p. application of acetic acid in a concentration of 0.6%, whereas test groups received standard (acetylsalicylic acid) or test substances in methyl cellulose p.o. 30 minutes prior to i.p. application of 0.6% acetic acid (volume 0.1 ml/10 g). The mice were placed individually under glass funnels and the number of writhings was registered for 20 minutes for each animal. The percentage of writhing inhibition was calculated according to the equation:

$$\% \text{ inhibition} = (\text{mean value of number of writhings in the control group} - \text{number of writhings in the test group}) / \text{number of writhings in the control group} * 100.$$

Active are the compounds showing such analgetic activity as acetylsalicylic acid or better.

### ***In vivo* model of LPS-induced shock in mice**

Male Balb/C mice (Charles River, Italy), age 8 to 12 weeks, were used. LPS isolated from *Serratia marcescens* (Sigma, L-6136) was diluted in sterile physiological solution. The first LPS injection was administered intradermally in a dosis of 4  $\mu$ g/mouse. 18 to 24 hours later, LPS was administered i.v. in a dosis of 90-200

µg/mouse. A control group received two LPS injections as disclosed above. The test groups received substances p.o. half an hour prior to each LPS application. Survival after 24 hours was observed.

Active are the substances at which the survival at a dosis of 30 mg/kg was 40% or more.

Compounds from Examples 5 to 7 show activity in at least two investigated assays though these results only represent an illustration of the biological activity of compounds and should not limit the invention in any way.



**Example 1****a) 1H-8-Oxa-1-aza-dibenzo[e,h]azulene (4)**

To an ethanolic solution of 11-[2-(dimethyl-hydrazono)-ethylidene]-11H-dibenzo[b,f]oxepine-10-one (6.16 mmol in 47 mL), Na<sub>2</sub>S<sub>2</sub>O<sub>4</sub> (0.036 mol) and water (23 mL) were added. The reaction mixture was stirred under heating at boiling temperature for 3 to 4 hours. Then it was poured into an ice-water mixture and the product was extracted with dichloromethane. The crude product was purified by column chromatography and an oily product was isolated.

**b) 11-Chloro-1H-8-oxa-1-aza-dibenzo[e,h]azulene (5)**

According to the above process starting from 8-chloro-11-[2-(dimethyl-hydrazono)-ethylidene]-11H-dibenzo[b,f]oxepine-10-one a product in the form of an oil was obtained.

**c) 1H-8-Thia-1-aza-dibenzo[e,h]azulene (6)**

According to the above process, starting from 11-[2-(dimethyl-hydrazono)-ethylidene]-11H-dibenzo[b,f]thiepine-10-one an oily product was obtained.

**Example 2****1H-8-Oxa-1-aza-dibenzo[e,h]azulene-2-carbaldehyde (7)**

To dimethylformamide (38.7 mmol) cooled to 0°C, phosphoric trichloride (25.7 mmol) was added drop by drop and then the reaction mixture was stirred at room temperature for 15 minutes. To the reaction mixture cooled again to 0°C, a dimethylformamide solution of 1H-8-oxa-1-aza-dibenzo[e,h]azulene (4, 2.57 mmol in

5 mL) was added. Then the reaction mixture was stirred at 70-80 °C for 1-2 hours, cooled to room temperature and, by adding 50% NaOH, the pH was adjusted to 8-9. Such alkaline solution was heated for 1 hour at 70 °C, then cooled to room temperature and poured into an ice-water mixture. The organic product was extracted with ethyl acetate, purified by chromatography on silica gel column and a yellow oily product was isolated.

According to the above process, by formylation of the compounds 5 and 6 there were prepared the compounds

*11-chloro-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-carbaldehyde (8)* and  
*1H-8-thia-1-aza-dibenzo[e,h]azulene-2-carbaldehyde (9).*

### Example 3

*1-(2-Trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-carbaldehyde (10)*

A tetrahydrofuran solution of *1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-carbaldehyde (7)*; 1.9 mmol in 15 mL) was cooled to 0 °C and slowly sodium hydride (60% dispersion in mineral oil, 125 mg) was added thereto. The reaction mixture was stirred at 0 °C until hydrogen stopped to develop (15-30 minutes) and trimethylsilyl ethoxymethyl chloride, (CH<sub>3</sub>)<sub>3</sub>SiCH<sub>2</sub>CH<sub>2</sub>OCH<sub>2</sub>Cl (SEM-Cl; 2 mmol) was added to the cooled reaction mixture. The reaction mixture was stirred at room temperature for one hour and then it was diluted by addition of water. The organic product was extracted with ethyl acetate. After drying the organic extracts on anhydrous sodium sulfate and evaporating the solvent, the crude product was purified by chromatography on a silica gel column. A dark oily product was isolated.

According to the above process, by silylating the compounds 8 and 9 there were prepared the compounds

*11-chloro-1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-carbaldehyde (11)* and  
*1-(2-trimethylsilyl-ethoxymethyl)-1H-8-thia-1-aza-dibenzo[e,h]azulene-2-carbaldehyde (12)*.

#### Example 4

*[1-(2-Trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-yl]-methanol (13)*

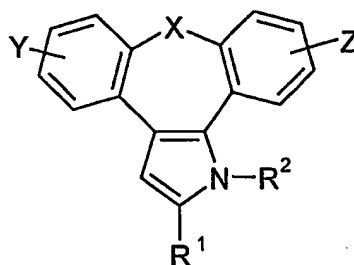
To a methanolic solution of *1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-carbaldehyde (10)*; 2.45 mmol in 25 mL), NaBH<sub>4</sub> (4 mmol) was added and the reaction mixture was stirred at room temperature for 2 hours. Then the pH of the reaction mixture was adjusted to 5 by adding acetic acid, the solvent was evaporated to dryness and the dry residue was extracted with ethyl acetate. By purifying the crude product by chromatography on a silica gel column an oily product was isolated.

According to the above process, by reacting the compounds 11 and 12 with NaBH<sub>4</sub> there were prepared the compounds

*[11-chloro-1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-yl]-methanol (14)* and  
*[1-(2-trimethylsilyl-ethoxymethyl)-1H-8-thia-1-aza-dibenzo[e,h]azulene-2-yl]-methanol (15)*.

#### Table 1

27



I

cmp.	X	Y	Z	R <sup>1</sup>	R <sup>2</sup>	MS ( <i>m/z</i> )	<sup>1</sup> H NMR (ppm, CDCl <sub>3</sub> )
4	O	H	H	H	H	231.9 [M-H] <sup>-</sup>	6.59 (t, 1H); 6.97 (t, 1H); 7.15-7.49 (m, 8H); 8.48 (bs, 1H)
5	O	H	11-Cl	H	H	266 [M-H] <sup>-</sup>	6.58 (t, 1H); 6.97 (t, 1H); 7.16-7.25 (m, 6H); 7.47 (m, 1H); 8.49 (bs, 1H)
6	S	H	H	H	H	250 [MH] <sup>+</sup>	6.61 (t, 1H); 6.99 (t, 1H); 7.20-7.65 (m, 8H); 8.54 (bs, 1H)
7	O	H	H	CHO	H	262.2 [MH] <sup>+</sup>	7.19-7.52 (m, 9H); 9.83 (s, 1H)
8	O	H	11-Cl	CHO	H	353 [M+Na <sup>+</sup> +MeOH]	-
9	S	H	H	CHO	H	298 [M+Na <sup>+</sup> ]	7.27-7.69 (m, 8H); 8.04 (bs, 1H); 9.67 (s, 1H)
10	O	H	H	CHO	SEM <sup>a</sup>	414.1 [M+Na <sup>+</sup> ]	0.03 (s, 9H); 0.99 (m, 2H); 3.83 (m, 2H); 5.38 (s, 2H); 7.23-7.53 (m, 8H); 8.02 (m, 1H); 9.74 (s, 1H)
11	O	H	11-Cl	CHO	SEM	448.4 [M+Na <sup>+</sup> ]	0.024 (s, 9H); 0.92-1.09 (m, 2H); 3.50-3.99 (m, 2H); 5.37 (s, 2H); 7.20-8.07 (m, 8H); 9.74 (s, 1H)
12	S	H	H	CHO	SEM	430.1 [M+Na <sup>+</sup> ]	0.02 (s, 9H); 0.93 (t, 2H); 3.55-3.75 (dm, 2H); 5.53 (d, 1H); 5.93 (d, 1H); 7.27-7.8 (m, 9H); 10.04 (s, 1H)
13	O	H	H	CH <sub>2</sub> OH	SEM	393.2 [MH] <sup>+</sup>	0.025 (s, 9H); 0.97 (t, 2H); 1.59 (bs, 1H); 3.64 (m, 2H); 4.79 (s, 2H); 5.48 (s, 2H); 6.6 (s, 1H); 7.19-7.52 (m, 8H)
14	O	H	11-Cl	CH <sub>2</sub> OH	SEM	450 [M+Na <sup>+</sup> ]; 410 [M-OH]	0.04 (s, 9H); 1.07 (m, 2H); 1.57 (s, 1H); 3.69 (m, 2H); 4.77 (s, 2H); 5.39 (s, 2H); 6.56 (s, 1H); 7.18-7.31 (m, 5H); 7.46 (m, 1H); 7.55 (d, 1H)
15	S	H	H	CH <sub>2</sub> OH	SEM	432.1 [M+Na <sup>+</sup> ]; 392.1 [M-OH]	0.02 (s, 9H); 0.93 (m, 2H); 1.6 (bs, 1H); 3.33-3.62 (dm, 2H); 4.82 (s, 2H); 5.47 (s, 2H); 6.61 (s, 1H); 7.23-7.74 (m, 8H)

a) SEM =  $(\text{CH}_3)_3\text{SiCH}_2\text{CH}_2\text{OCH}_2$

### Example 5

a) *Dimethyl-{2-[1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-ethyl}-amine (I; X = O, Y = Z = H, R<sup>1</sup> =  $(\text{CH}_3)_2\text{N}(\text{CH}_2)_2\text{OCH}_2$ , R<sup>2</sup> =  $(\text{CH}_3)_3\text{Si}(\text{CH}_2)_2\text{OCH}_2$ )*

*Dimethyl-[2-(1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-ethyl]-amine (I; X = O, Y = Z = H, R<sup>1</sup> =  $(\text{CH}_3)_2\text{N}(\text{CH}_2)_2\text{OCH}_2$ , R<sup>2</sup> = H)*

To a solution of 2-dimethylaminoethyl chloride hydrochloride (5.2 mmol) in 50% sodium hydroxide (10mL), benzyl triethyl ammonium chloride (a catalytic amount) and a solution of the alcohol 13 (0.3 mmol) in toluene (15 mL) were added. The reaction mixture was heated under vigorous stirring at boiling temperature for 3 hours. Then it was cooled to room temperature, diluted with water and extracted with dichloromethane. The organic extract was washed with water, dried on anhydrous  $\text{Na}_2\text{SO}_4$  and evaporated under reduced pressure. After purifying the evaporated residue by chromatography on a silica gel column, *dimethyl-{2-[1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-ethyl}-amine* was isolated in the form of an oil;

MS (*m/z*): 465.4  $[\text{MH}]^+$ .

To a solution of the silyl compound prepared above (0.11 mmol) in tetrahydrofuran (1mL) tetrabutylammonium fluoride (5 mmol, 1M solution in THF) was added. The reaction mixture was heated for 5 hours at boiling temperature and then it was cooled to room temperature, diluted with diethyl ether and washed with water. The organic extracts were dried on anhydrous  $\text{Na}_2\text{SO}_4$ , the solvent was evaporated under reduced pressure and the crude product was purified by chromatography on a silica gel column. After purifying a light yellow oily *dimethyl-[2-(1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-ethyl]-amine* was isolated;

MS ( $m/z$ ): 357.4  $[M+Na]^+$ .

b) *Dimethyl-{3-[1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-propyl}-amine* (I;  $X = O$ ,  $Y = Z = H$ ,  $R^1 = (CH_3)_2N(CH_2)_3OCH_2$ ,  $R^2 = (CH_3)_3Si(CH_2)_2OCH_2$ )

*Dimethyl-[3-(1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-propyl]-amine* (I;  $X = O$ ,  $Y = Z = H$ ,  $R^1 = (CH_3)_2N(CH_2)_3OCH_2$ ,  $R^2 = H$ )

By a reaction of the alcohol 13 (0.3 mmol) and 3-dimethylaminopropyl chloride hydrochloride (4.7 mmol), *dimethyl-{3-[1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-propyl}-amine* was obtained according to the process disclosed in Example 5a in the form of a light yellow oily product.

MS( $m/z$ ): 479.4  $[MH]^+$ .

After the removal of the *N*-protecting group according to the process disclosed in Example 5a and purifying the product by chromatography on a silica gel column, *dimethyl-[3-(1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-propyl]-amine* in the form of a light yellow oily product was obtained;

MS ( $m/z$ ): 349.4  $[MH]^+$ .

### Example 6

a) *{2-[11-Chloro-1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-ethyl}-dimethyl-amine* (I;  $X = O$ ,  $Y = H$ ,  $Z = 11-Cl$ ,  $R^1 = (CH_3)_2N(CH_2)_2OCH_2$ ,  $R^2 = (CH_3)_3Si(CH_2)_2OCH_2$ )

*[2-(11-Chloro-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-ethyl]-dimethyl-amine* (I;  $X = O$ ,  $Y = H$ ,  $Z = 11-Cl$ ,  $R^1 = (CH_3)_2N(CH_2)_2OCH_2$ ,  $R^2 = H$ )

To a solution of 2-dimethylaminoethyl chloride hydrochloride (5.2 mmol) in 50% sodium hydroxide (10 mL), benzyltriethyl ammonium chloride (a catalytic amount)

and a solution of the alcohol **14** (0.28 mmol) in toluene (10 mL) were added. The reaction mixture was heated under vigorous stirring at boiling temperature for 4 hours. Then it was cooled to room temperature, diluted with water and extracted with dichloromethane. The organic extract was washed with water, dried on anhydrous  $\text{Na}_2\text{SO}_4$  and evaporated under reduced pressure. After purifying the evaporated residue, *{2-[11-chloro-1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-ethyl}-dimethyl-amine* was isolated by chromatography on silica gel column in the form of an oily product; MS( $m/z$ ): 499.2 ( $\text{MH}^+$ ).

After the removal of the *N*-protecting group according to the process disclosed in Example 5a and purifying the product by column chromatography, *[2-(11-chloro-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-ethyl]-dimethyl-amine* in the form of a light yellow oil was obtained; MS( $m/z$ ): 369.2 [ $\text{MH}^+$ ].

b) *{3-[11-Chloro-1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-propyl}-dimethyl-amine* (*I*;  $X = \text{O}$ ,  $Y = \text{H}$ ,  $Z = 11\text{-Cl}$ ,  $R^1 = (\text{CH}_3)_2\text{N}(\text{CH}_2)_3\text{OCH}_2$ ,  $R^2 = (\text{CH}_3)_3\text{Si}(\text{CH}_2)_2\text{OCH}_2$ )  
*[3-(11-Chloro-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-propyl]-dimethyl-amine* (*I*;  $X = \text{O}$ ,  $Y = \text{H}$ ,  $Z = 11\text{-Cl}$ ,  $R^1 = (\text{CH}_3)_2\text{N}(\text{CH}_2)_3\text{OCH}_2$ ,  $R^2 = \text{H}$ )

By reacting the alcohol **14** (0.28 mmol) and 3-dimethylaminopropyl chloride hydrochloride (4.7 mmol) according to the process disclosed in Example 5a, *{3-[11-chloro-1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-propyl}-dimethyl-amine* in the form of a light oily product was obtained; MS( $m/z$ ): 513.2 [ $\text{MH}^+$ ].

After the removal of the *N*-protecting group according to the process disclosed in Example 5a and purifying the product by chromatography on a silica gel column, *[3-*

(11-chloro-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-propyl]-dimethylamine in the form of a light oil was obtained;

MS(*m/z*): 383.2 [MH]<sup>+</sup>.

### Example 7

a) Dimethyl-{2-[1-(2-trimethylsilyl-ethoxymethyl)-1H-8-thia-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-ethyl}-amine (I; X = S, Y = Z = H, R<sup>1</sup> = (CH<sub>3</sub>)<sub>2</sub>N(CH<sub>2</sub>)<sub>2</sub>OCH<sub>2</sub>, R<sup>2</sup> = (CH<sub>3</sub>)<sub>3</sub>Si(CH<sub>2</sub>)<sub>2</sub>OCH<sub>2</sub>)  
Dimethyl-[2-(1H-8-thia-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-ethyl]-amine (I; X = S, Y = Z = H, R<sup>1</sup> = (CH<sub>3</sub>)<sub>2</sub>N(CH<sub>2</sub>)<sub>2</sub>OCH<sub>2</sub>, R<sup>2</sup> = H)

To a solution of 2-dimethylaminoethyl chloride hydrochloride (5.2 mmol) in 50% sodium hydroxide (10 mL), benzyltriethyl ammonium chloride (a catalytic amount) and a solution of the alcohol 15 (0.39 mmol) in toluene (15 mL) were added. The reaction mixture was heated under vigorous stirring at boiling temperature for 4 hours. Then it was cooled to room temperature, diluted with water and extracted with dichloromethane. The organic extract was washed with water, dried on anhydrous Na<sub>2</sub>SO<sub>4</sub> and evaporated under reduced pressure. After purifying the evaporated residue, dimethyl-{2-[1-(2-trimethylsilyl-ethoxymethyl)-1H-8-thia-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-ethyl}-amine was isolated by chromatography on a silica gel column in the form of an oil;

MS (*m/z*): 480.9 [MH]<sup>+</sup>.

After the removal of the *N*-protecting group according to the process disclosed in Example 5a and purifying the product by chromatography on a silica gel column, dimethyl-[2-(1H-8-thia-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-ethyl]-amine was obtained in the form of an oil;

<sup>1</sup>H NMR (ppm, CDCl<sub>3</sub>): 2.39 (s, 6H); 2.72 (m, 2H); 3.74 (m, 2H); 4.70 (s, 2H); 6.42 (s, 1H); 7.18-7.61 (m, 8H); 11.06 (s, 1H);



MS (*m/z*): 351.1 [MH]<sup>+</sup>.

b) *Dimethyl-[3-[1-(2-trimethylsilyl-ethoxymethyl)-1H-8-thia-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-propyl]-amine* (I; X = S, Y = Z = H, R<sup>1</sup> = (CH<sub>3</sub>)<sub>2</sub>N(CH<sub>2</sub>)<sub>3</sub>OCH<sub>2</sub>, R<sup>2</sup> = (CH<sub>3</sub>)<sub>3</sub>Si(CH<sub>2</sub>)<sub>2</sub>OCH<sub>2</sub>)

*Dimethyl-[3-(1H-8-thia-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-propyl]-amine* (I; X = S, Y = Z = H, R<sup>1</sup> = (CH<sub>3</sub>)<sub>2</sub>N(CH<sub>2</sub>)<sub>3</sub>OCH<sub>2</sub>, R<sup>2</sup> = H)

By reacting the alcohol 15 (0.39 mmol) and 3-dimethylaminopropyl chloride hydrochloride (4.7 mmol) according to the process disclosed in Example 5a, *dimethyl-[3-[1-(2-trimethylsilyl-ethoxymethyl)-1H-8-thia-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-propyl]-amine* was obtained in the form of an oil;

<sup>1</sup>H NMR (ppm, CDCl<sub>3</sub>): 0.049 (s, 9H); 0.87 (m, 2H); 1.96-2.04 (m, 2H); 2.47 (s, 6H); 2.67 (m, 2H); 3.27-3.58 (dm, 2H); 3.67 (m, 2H); 4.73 (m, 2H); 5.47 (m, 2H); 6.59 (s, 1H); 7.24-7.75 (m, 8H);

MS (*m/z*): 495.2 [MH]<sup>+</sup>.

After the removal of the *N*-protecting group according to the process disclosed in Example 5a and purifying the product by chromatography on a silica gel column, *dimethyl-[3-(1H-8-thia-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-propyl]-amine* was obtained in the form of an oil;

<sup>1</sup>H NMR (ppm, CDCl<sub>3</sub>): 1.78-1.86 (m, 2H); 2.23 (s, 6H); 2.45 (t, 2H); 3.62 (t, 2H); 4.63 (s, 2H); 6.45 (s, 1H); 7.18-7.62 (m, 8H); 9.8 (s, 1H);

MS (*m/z*): 365.1 [MH]<sup>+</sup>.

c) *3-[1-(2-Trimethylsilyl-ethoxymethyl)-1H-8-thia-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-propylamine* (I; X = S, Y = Z = H, R<sup>1</sup> = H<sub>2</sub>N(CH<sub>2</sub>)<sub>3</sub>OCH<sub>2</sub>, R<sup>2</sup> = (CH<sub>3</sub>)<sub>3</sub>Si(CH<sub>2</sub>)<sub>2</sub>OCH<sub>2</sub>)

*3-(1H-8-Thia-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-propylamine* (I; X = S, Y = Z = H, R<sup>1</sup> = H<sub>2</sub>N(CH<sub>2</sub>)<sub>3</sub>OCH<sub>2</sub>, R<sup>2</sup> = H)

By reacting the alcohol **15** (0.39 mmol) and 3-aminopropyl chloride hydrochloride (5.8 mmol) according to the process disclosed in Example 5a, 3-[1-(2-trimethylsilyl-ethoxymethyl)-1H-8-thia-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-propylamine was obtained in the form of an oil;

MS ( $m/z$ ): 466.9  $[MH]^+$ .

After the removal of the *N*-protecting group according to the process disclosed in Example 5a and purifying the product by chromatography on a silica gel column, 3-(1H-8-thia-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-propylamine was obtained in the form of an oil;

MS ( $m/z$ ): 336 $[MH]^+$ ; 335  $[M-H]^-$ .

#### PREPARATION OF STARTING COMPOUNDS

##### *11-[2-(dimethyl-hydrazono)-ethylidene]-11H-dibenzo[b,f]oxepine-10-one (1)*

A mixture of 11H-dibenzo[b,f]oxepine-10-one (9.52 mmol) and glyoxal-mono(dimethylhydrazone) (9.52 mmol) was dissolved in ethanol (25 mL) and to the solution a freshly prepared ethanolic solution of sodium ethoxide (9.52 mmol Na in 25 mL of ethanol) was added drop by drop. The reaction mixture was stirred under heating at boiling temperature for 2 to 3 hours, then cooled to room temperature and poured to an ice-water mixture. Then the organic product was extracted with ethyl acetate, the organic extracts were dried on anhydrous  $Na_2SO_4$  and, after evaporating the solvent, the crude product was purified by chromatography on a silica gel column. An oily brown product (a mixture of configuration isomers) was isolated;

MS ( $m/z$ ): 293  $[MH]^+$ .

Starting from *8-chloro-11H-dibenzo[b,f]oxepine-10-one* there was formed *8-chloro-11-[2-(dimethyl-hydrazono)-ethylidene]-11H-dibenzo[b,f]oxepine-10-one* (**2**), which was obtained in the form of an oil;

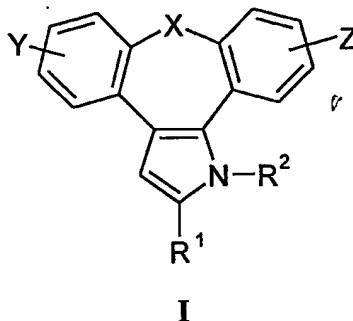
MS (*m/z*): 349.1 [ $M+Na^+$ ].

Starting from *11H-dibenzo[b,f]thiepine-10-one* there was formed *11-[2-(dimethyl-hydrazono)-ethylidene]-11H-dibenzo[b,f]thiepine-10-one* (**3**) in the form of an oil;

MS (*m/z*): 331 [ $M+Na^+$ ].

## CLAIMS

1. Compound of the formula I:

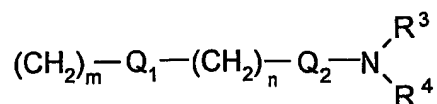


wherein

X may be CH<sub>2</sub> or a hetero atom such as O, S, S(=O), S(=O)<sub>2</sub>, or NR<sup>a</sup>, wherein R<sup>a</sup> is hydrogen or a protecting group;

Y and Z independently from each other denote one or more identical or different substituents linked to any available carbon atom, and may be halogen, C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, C<sub>2</sub>-C<sub>4</sub> alkynyl, halo-C<sub>1</sub>-C<sub>4</sub> alkyl, hydroxy, C<sub>1</sub>-C<sub>4</sub> alkoxy, trifluoromethoxy, C<sub>1</sub>-C<sub>4</sub> alkanoyl, amino, amino-C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkylamino, *N*-(C<sub>1</sub>-C<sub>4</sub>-alkyl)amino, *N,N*-di(C<sub>1</sub>-C<sub>4</sub>-alkyl)amino, thiol, C<sub>1</sub>-C<sub>4</sub> alkylthio, sulfonyl, C<sub>1</sub>-C<sub>4</sub> alkylsulfonyl, sulfinyl, C<sub>1</sub>-C<sub>4</sub> alkylsulfinyl, carboxy, C<sub>1</sub>-C<sub>4</sub> alkoxycarbonyl, cyano, nitro;

R<sup>1</sup> may be hydrogen, halogen, an optionally substituted C<sub>1</sub>-C<sub>7</sub> alkyl or C<sub>2</sub>-C<sub>7</sub> alkenyl, C<sub>2</sub>-C<sub>7</sub> alkynyl, an optionally substituted aryl or heteroaryl and a heterocycle, hydroxy, hydroxy-C<sub>2</sub>-C<sub>7</sub> alkenyl, hydroxy-C<sub>2</sub>-C<sub>7</sub> alkynyl, C<sub>1</sub>-C<sub>7</sub> alkoxy, thiol, thio-C<sub>2</sub>-C<sub>7</sub> alkenyl, thio-C<sub>2</sub>-C<sub>7</sub> alkynyl, C<sub>1</sub>-C<sub>7</sub> alkylthio, amino, *N*-(C<sub>1</sub>-C<sub>7</sub>)alkylamino, *N,N*-di(C<sub>1</sub>-C<sub>7</sub>-alkyl)amino, (C<sub>1</sub>-C<sub>7</sub>-alkyl)amino, amino-C<sub>2</sub>-C<sub>7</sub> alkenyl, amino-C<sub>2</sub>-C<sub>7</sub> alkynyl, amino-C<sub>1</sub>-C<sub>7</sub> alkoxy, C<sub>1</sub>-C<sub>7</sub> alkanoyl, aroyl, oxo-C<sub>1</sub>-C<sub>7</sub> alkyl, C<sub>1</sub>-C<sub>7</sub> alkanoyloxy, carboxy, an optionally substituted C<sub>1</sub>-C<sub>7</sub> alkyloxycarbonyl or aryloxycarbonyl, carbamoyl, *N*-(C<sub>1</sub>-C<sub>7</sub>-alkyl)carbamoyl, *N,N*-di(C<sub>1</sub>-C<sub>7</sub>-alkyl)carbamoyl, cyano, cyano-C<sub>1</sub>-C<sub>7</sub> alkyl, sulfonyl, C<sub>1</sub>-C<sub>7</sub> alkylsulfonyl, sulfinyl, C<sub>1</sub>-C<sub>7</sub> alkylsulfinyl, nitro, or a substituent of the formula II:



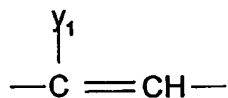
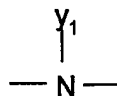
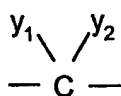
## II

wherein

$\text{R}^3$  and  $\text{R}^4$  simultaneously or independently from each other may be hydrogen,  $\text{C}_1\text{-C}_4$  alkyl, aryl or together with N have the meaning of an optionally substituted heterocycle or heteroaryl;

m and n represent an integer from 0 to 3;

$\text{Q}_1$  and  $\text{Q}_2$  represent, independently from each other, oxygen, sulfur or groups:



wherein the substituents

$\text{Y}_1$  and  $\text{Y}_2$  independently from each other may be hydrogen, halogen, an optionally substituted  $\text{C}_1\text{-C}_4$  alkyl or aryl, hydroxy,  $\text{C}_1\text{-C}_4$  alkoxy,  $\text{C}_1\text{-C}_4$  alkanoyl, thiol,  $\text{C}_1\text{-C}_4$  alkylthio, sulfonyl,  $\text{C}_1\text{-C}_4$  alkylsulfonyl, sulfinyl,  $\text{C}_1\text{-C}_4$  alkylsulfinyl, cyano, nitro or together form carbonyl or imino group;

$\text{R}^2$  has the meaning of hydrogen, optionally substituted  $\text{C}_1\text{-C}_7$  alkyl or aryl or a protecting group: formyl,  $\text{C}_1\text{-C}_7$  alkanoyl,  $\text{C}_1\text{-C}_7$  alkoxy carbonyl, arylalkyloxy carbonyl, aroyl, arylalkyl,  $\text{C}_1\text{-C}_7$  alkylsilyl;

as well as pharmacologically acceptable salts and solvates thereof.

2. Compound according to claim 1, characterized in that X has the meaning of S or O.
3. Compound according to claim 2, characterized in that Y has the meaning of H and Z has the meaning of H or Cl.
4. Compound according to claim 3, characterized in that  $R^1$  has the meaning of H, CHO,  $CH_2OH$ , and  $R^2$  has the meaning of H or  $(CH_3)_3Si(CH_2)_2OCH_2$ .
5. Compound and salt according to claim 3, characterized in that  $R^1$  has the meaning of the formula II.
6. Compound and salt according to claim 5, characterized in that the symbol m has the meaning of 1,  $Q_1$  has the meaning of O, n has the meaning of 1 or 2,  $Q_2$  has the meaning of  $CH_2$ ,  $R^2$  has the meaning of H or  $(CH_3)_3Si(CH_2)_2OCH_2$ , and  $R^3$  and  $R^4$  have the meaning of H or  $CH_3$ .
7. Selected compounds according to claim 4:  
*1H-8-oxa-1-aza-dibenzo[e,h]azulene;*  
*11-chloro-1H-8-oxa-1-aza-dibenzo[e,h]azulene;*  
*1H-8-thia-1-aza-dibenzo[e,h]azulene;*  
*1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-carbaldehyde;*  
*11-chloro-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-carbaldehyde;*  
*1H-8-thia-1-aza-dibenzo[e,h]azulene-2-carbaldehyde;*  
*1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-carbaldehyde;*  
*11-chloro-1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-carbaldehyde;*  
*1-(2-trimethylsilyl-ethoxymethyl)-1H-8-thia-1-aza-dibenzo[e,h]azulene-2-carbaldehyde;*

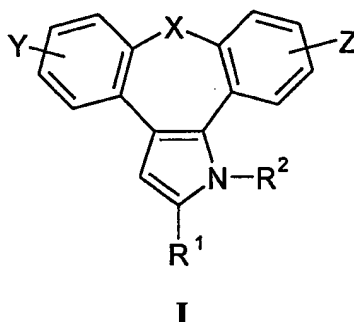
*[1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-yl]-methanol;*  
*[11-chloro-1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-yl]-methanol;*  
*[1-(2-trimethylsilyl-ethoxymethyl)-1H-8-thia-1-aza-dibenzo[e,h]azulene-2-yl]-methanol.*

8. Selected compounds and salts according to claim 6:

*dimethyl-{2-[1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-ethyl}-amine;*  
*dimethyl-[2-(1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-ethyl]-amine;*  
*dimethyl-{3-[1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-propyl}-amine;*  
*dimethyl-[3-(1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-propyl]-amine;*  
*{2-[11-chloro-1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-ethyl}-dimethyl-amine;*  
*[2-(11-chloro-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-ethyl]-dimethyl-amine;*  
*{3-[11-chloro-1-(2-trimethylsilyl-ethoxymethyl)-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-propyl}-dimethyl-amine;*  
*[3-(11-chloro-1H-8-oxa-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-propyl]-dimethyl-amine;*  
*dimethyl-{2-[1-(2-trimethylsilyl-ethoxymethyl)-1H-8-thia-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-ethyl}-amine;*  
*dimethyl-[2-(1H-8-thia-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-ethyl]-amine;*  
*dimethyl-{3-[1-(2-trimethylsilyl-ethoxymethyl)-1H-8-thia-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-propyl}-amine;*  
*dimethyl-[3-(1H-8-thia-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-propyl]-amine;*  
*3-[1-(2-trimethylsilyl-ethoxymethyl)-1H-8-thia-1-aza-dibenzo[e,h]azulene-2-ylmethoxy]-propylamine;*

3-(1H-8-thia-1-aza-dibenzo[e,h]azulene-2-ylmethoxy)-propylamine.

9. Process for the preparation of the compounds of the formula I:



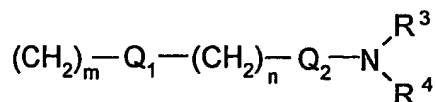
wherein

X may be CH<sub>2</sub> or a hetero atom such as O, S, S(=O), S(=O)<sub>2</sub>, or NR<sup>a</sup>, wherein R<sup>a</sup> is hydrogen or a protecting group;

Y and Z independently from each other denote one or more identical or different substituents linked to any available carbon atom, and may be halogen, C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>2</sub>-C<sub>4</sub> alkenyl, C<sub>2</sub>-C<sub>4</sub> alkynyl, halo-C<sub>1</sub>-C<sub>4</sub> alkyl, hydroxy, C<sub>1</sub>-C<sub>4</sub> alkoxy, trifluoromethoxy, C<sub>1</sub>-C<sub>4</sub> alkanoyl, amino, amino-C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkylamino, N-(C<sub>1</sub>-C<sub>4</sub>-alkyl)amino, N,N-di(C<sub>1</sub>-C<sub>4</sub>-alkyl)amino, thiol, C<sub>1</sub>-C<sub>4</sub> alkylthio, sulfonyl, C<sub>1</sub>-C<sub>4</sub> alkylsulfonyl, sulfinyl, C<sub>1</sub>-C<sub>4</sub> alkylsulfinyl, carboxy, C<sub>1</sub>-C<sub>4</sub> alkoxy carbonyl, cyano, nitro;

R<sup>1</sup> may be hydrogen, halogen, an optionally substituted C<sub>1</sub>-C<sub>7</sub> alkyl or C<sub>2</sub>-C<sub>7</sub> alkenyl, C<sub>2</sub>-C<sub>7</sub> alkynyl, an optionally substituted aryl or heteroaryl and a heterocycle, hydroxy, hydroxy-C<sub>2</sub>-C<sub>7</sub> alkenyl, hydroxy-C<sub>2</sub>-C<sub>7</sub> alkynyl, C<sub>1</sub>-C<sub>7</sub> alkoxy, thiol, thio-C<sub>2</sub>-C<sub>7</sub> alkenyl, thio-C<sub>2</sub>-C<sub>7</sub> alkynyl, C<sub>1</sub>-C<sub>7</sub> alkylthio, amino, N-(C<sub>1</sub>-C<sub>7</sub>)alkylamino, N,N-di(C<sub>1</sub>-C<sub>7</sub>-alkyl)amino, (C<sub>1</sub>-C<sub>7</sub>-alkyl)amino, amino-C<sub>2</sub>-C<sub>7</sub> alkenyl, amino-C<sub>2</sub>-C<sub>7</sub> alkynyl, amino-C<sub>1</sub>-C<sub>7</sub> alkoxy, C<sub>1</sub>-C<sub>7</sub> alkanoyl, aroyl, oxo-C<sub>1</sub>-C<sub>7</sub> alkyl, C<sub>1</sub>-C<sub>7</sub> alkanoyloxy, carboxy, an optionally substituted C<sub>1</sub>-C<sub>7</sub> alkyloxycarbonyl or aryloxycarbonyl, carbamoyl, N-(C<sub>1</sub>-C<sub>7</sub>-alkyl)carbamoyl, N,N-di(C<sub>1</sub>-C<sub>7</sub>-alkyl)carbamoyl, cyano, cyano-C<sub>1</sub>-C<sub>7</sub> alkyl, sulfonyl, C<sub>1</sub>-C<sub>7</sub> alkylsulfonyl, sulfinyl, C<sub>1</sub>-C<sub>7</sub> alkylsulfinyl, nitro, or a substituent of the formula II:





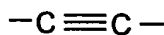
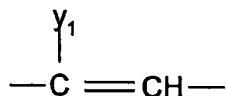
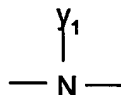
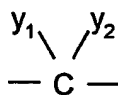
## II

wherein

$\text{R}^3$  and  $\text{R}^4$  simultaneously or independently from each other may be hydrogen,  $\text{C}_1\text{-C}_4$  alkyl, aryl or together with N have the meaning of an optionally substituted heterocycle or heteroaryl;

m and n represent an integer from 0 to 3;

$\text{Q}_1$  and  $\text{Q}_2$  represent, independently from each other, oxygen, sulfur or groups:



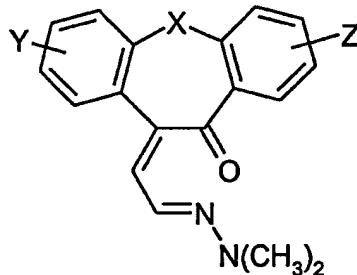
wherein the substituents

$y_1$  and  $y_2$  independently from each other may be hydrogen, halogen, an optionally substituted  $\text{C}_1\text{-C}_4$  alkyl or aryl, hydroxy,  $\text{C}_1\text{-C}_4$  alkoxy,  $\text{C}_1\text{-C}_4$  alkanoyl, thiol,  $\text{C}_1\text{-C}_4$  alkylthio, sulfonyl,  $\text{C}_1\text{-C}_4$  alkylsulfonyl, sulfinyl,  $\text{C}_1\text{-C}_4$  alkylsulfinyl, cyano, nitro or together form carbonyl or imino group;

$\text{R}^2$  has the meaning of hydrogen, optionally substituted  $\text{C}_1\text{-C}_7$  alkyl or aryl or a protecting group: formyl,  $\text{C}_1\text{-C}_7$  alkanoyl,  $\text{C}_1\text{-C}_7$  alkoxy carbonyl, arylalkyloxycarbonyl, aroyl, arylalkyl,  $\text{C}_1\text{-C}_7$  alkylsilyl;

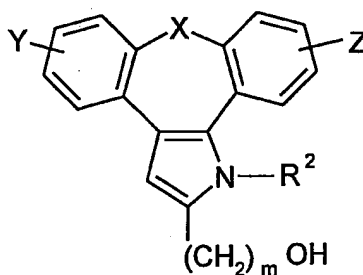
as well as pharmacologically acceptable salts and solvates thereof, characterized in that processes for the preparation comprise

- a) for compounds of the formula I wherein  $R^1$  is hydrogen  
a cyclization of compounds of the formula III:



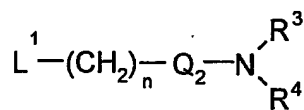
III

- b) for compounds of the formula I, wherein  $Q_1$  has the meaning of -O-,  
a reaction of alcohols of the formula IV:



IV

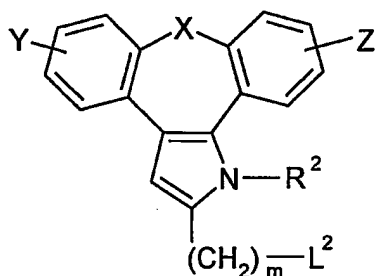
with compounds of the formula V:



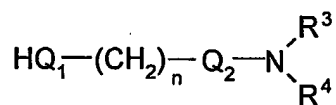
V

wherein  $L^1$  has the meaning of a leaving group,

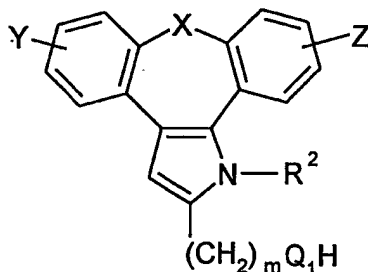
- c) for compounds of the formula I, wherein  $Q_1$  has the meaning of -O-, -NH-,  
-S- or -C≡C-,  
a reaction of compounds of the formula IVa:

**IVa**

wherein  $L^2$  has the meaning of a leaving group,  
with compounds of the formula **Va**:

**Va**

d) for compounds wherein  $Q_1$  has the meaning of -O-, -NH- or -S-,  
a reaction of compounds of the formula **IVb**:

**IVb**

with compounds of the formula **V**, wherein  $L^1$  has the meaning of a leaving group,

e) for compounds wherein  $Q_1$  has a meaning of -C=C-,  
a reaction of compounds of the formula **IVb**, wherein  $Q_1$  has the meaning of carbonyl, with phosphorous ylides.

10. Use of compounds of the formula I according to claim 4 as intermediates for preparing novel compounds of 1-aza-dibenzoazulene class having an antiinflammatory action.

11. Use of compounds of the formula I according to claim 5 as inhibitors of the production of cytokins or inflammation mediators in the treatment and prophylaxis of any pathological condition or disease induced by excessive unregulated production of cytokins or inflammation mediators in such a way that a non-toxic dose of appropriate pharmaceutical preparations may be administered per os, parenterally or locally.

## INTERNATIONAL SEARCH REPORT

Internat<sup>n</sup> application No  
PCT/HR 03/00026

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C07D491/04 C07D495/04 A61K31/55 A61P29/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 01 87890 A (HRVA & CCARON ;MESI & CACUTE (HR); PE & SCARON (HR); MER & CACUTE) 22 November 2001 (2001-11-22) cited in the application the whole document	1-11
Y	US 4 198 421 A (CHERKOFISKY SAUL C ET AL) 15 April 1980 (1980-04-15) cited in the application claims 1,13	1-11
Y	US 3 711 489 A (LOMBARDINO J) 16 January 1973 (1973-01-16) cited in the application column 4, line 70 -column 5, line 28; claim 1	1-11
	--- -/-	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of the actual completion of the international search

20 August 2003

Date of mailing of the international search report

02/09/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Baston, E

## INTERNATIONAL SEARCH REPORT

Internat<sup>l</sup> Application No

PCT/HR 03/00026

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WERMUTH ET AL: "The Practise of Medicinal Chemistry", PRACTICE OF MEDICINAL CHEMISTRY, 1996, PAGE(S) 203-237 XP002190259 the whole document -----	1-11

## INTERNATIONAL SEARCH REPORT

International Publication No  
PCT/HR 03/00026

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 0187890	A	22-11-2001	HR 20000310 A1	28-02-2002
			AU 5656001 A	26-11-2001
			BR 0111202 A	01-04-2003
			CA 2409090 A1	22-11-2001
			CZ 20024090 A3	14-05-2003
			EP 1284977 A1	26-02-2003
			WO 0187890 A1	22-11-2001
			NO 20025510 A	14-01-2003
US 4198421	A	15-04-1980	NONE	
US 3711489	A	16-01-1973	GB 1374862 A	20-11-1974
			US 3781294 A	25-12-1973